

Current as of 9 April 2020

Under a disaster or emergency declaration and a public health emergency, the HHS Secretary is authorized to take additional actions to provide programmatic flexibility in Medicare, Medicaid and the Children's Health Insurance Program through <u>section 1135 waivers</u>. The Secretary may issue <u>blanket waivers</u>, or may approve state specific waivers. Waivers end no later than the termination of the emergency period. CMS recently released a <u>checklist</u> for states to aid in the development of 1135 waivers requests. *To-date, CMS has approved 49 state waivers. The below chart summarizes the key elements of each.*

| State | Date | Suspend PASRR Levels I and II | Suspend Medicaid FFS Prior Auth | Extend pre- existing authorizations | Provider Enrollment | Fair Hearing Requests & Appeal Timelines | Care in Alternative Settings | Other Provisions |
|----------------------|------|-------------------------------------|---------------------------------------|---|------------------------|--|------------------------------------|--|
| Alabama | 3/23 | Yes | No | No | No | No | No | |
| <u>Alaska</u> | 4/2 | Yes | Yes | Yes | Yes | Yes | Yes | Waives Tribal consultation |
| <u>Arizona</u> | 3/23 | Yes | Yes | Yes | Yes | No | No | |
| <u>Arkansas</u> | 4/2 | Yes | No | No | Yes | Yes | No | Waives public notice requirements and SPA submission deadlines |
| <u>California</u> | 3/23 | No | Yes | Yes | Yes | Yes | No | Allows facilities, including NFs, intermediate care facilities for individuals with IDD, psychiatric residential treatment facility, to be fully reimbursed for services rendered to an unlicensed facility. |
| <u>Colorado</u> | 3/26 | Yes | Yes | Yes | Yes | Yes | Yes | Waives public notice and Tribal Consultation to modify and waive preapproval requirements |
| Connecticut | 3/27 | No | Yes | Yes | Yes | Yes | Yes | Waives public notice and Tribal Consultation to modify and waive preapproval requirements |
| <u>Delaware</u> | 3/27 | Yes | Yes | Yes | Yes | Yes | No | |
| District of Columbia | 4/2 | Yes | Yes | Yes | Yes | No | Yes | Waives SPA submission deadline and public notice |
| <u>Florida</u> | 3/16 | Yes | No | No | Yes | Yes | Yes | Allows for waiver or modification of pre-approval requirements to permit services provided on or after March 1, 2020 through the public health emergency |
| Georgia | 4/1 | Yes | Yes | Yes | Yes | Yes | Yes | |



| State | Date | Suspend PASRR Levels I and II | Suspend Medicaid FFS Prior Auth | Extend pre- existing authorizations | Provider Enrollment | Fair Hearing Requests & Appeal Timelines | Care in Alternative Settings | Other Provisions |
|--------------------|------|-------------------------------------|---------------------------------------|---|------------------------|--|------------------------------------|---|
| <u>Hawaii</u> | 3/26 | Yes | Yes | No | Yes | Yes | Yes | Waives public notice and Tribal Consultation to modify and waive preapproval requirements |
| <u>Idaho</u> | 3/26 | Yes | Yes | Yes | Yes | No | Yes | |
| <u>Illinois</u> | 3/23 | Yes | Yes | Yes | Yes | Yes | Yes | |
| <u>Indiana</u> | 3/25 | Yes | Yes | Yes | Yes | Yes | Yes | |
| <u>lowa</u> | 3/25 | Yes | No | No | No | No | No | |
| <u>Kansas</u> | 3/24 | Yes | Yes | Yes | Yes | Yes | No | |
| Kentucky | 3/25 | Yes | Yes | No | Yes | Yes | Yes | |
| <u>Louisiana</u> | 3/23 | Yes | No | No | Yes | Yes | Yes | |
| Maryland | 3/27 | Yes | Yes | Yes | Yes | Yes | Yes | Waives public notice and Tribal Consultation to modify and waive preapproval requirements |
| Massachusetts | 3/26 | Yes | Yes | Yes | Yes | Yes | Yes | Waives public notice and Tribal Consultation to modify and waive preapproval requirements |
| <u>Michigan</u> | 4/6 | | Yes | Yes | Yes | | Yes | Waives SPA submission deadline, public notice and tribal consultation |
| <u>Minnesota</u> | 3/27 | No | Yes | No | Yes | Yes | Yes | |
| <u>Mississippi</u> | 3/23 | Yes | Yes | Yes | Yes | Yes | Yes | |
| Missouri | 3/25 | Yes | Yes | Yes | Yes | Yes | Yes | State Plan Amendment Flexibilities, Submission Deadline and Public Notice waiver for public notice requirements applicable to the state plan amendment submission process |
| <u>Montana</u> | 3/30 | Yes | Yes | Yes | Yes | Yes | Yes | |
| <u>Nebraska</u> | 4/2 | Yes | Yes | No | Yes | Yes | Yes | Waives public notice and Tribal Consultation to modify and waive preapproval requirements |
| New Hampshire | 3/23 | Yes | Yes | Yes | Yes | Yes | Yes | |
| New Jersey | 3/23 | Yes | Yes | Yes | Yes | Yes | Yes | |



| State | Date | Suspend PASRR Levels I and II | Suspend Medicaid FFS Prior Auth | Extend pre- existing authorizations | Provider Enrollment | Fair Hearing Requests & Appeal Timelines | Care in Alternative Settings | Other Provisions |
|-----------------------|------|-------------------------------------|---------------------------------------|---|------------------------|--|------------------------------------|--|
| New Mexico | 3/23 | Yes | Yes | Yes | Yes | Yes | No | |
| New York | 3/26 | Yes | Yes | Yes | Yes | Yes | Yes | |
| North Carolina | 3/23 | Yes | Yes | No | Yes | Yes | Yes | |
| North Dakota | 3/24 | Yes | Yes | Yes | Yes | Yes | No | Flexibility to modify the timeframes associated with tribal consultation, including shortening the number of days before submission or conducting consultation after submission of the SPA |
| <u>Oklahoma</u> | 3/24 | Yes | Yes | No | Yes | Yes | Yes | |
| Oregon | 3/25 | Yes | Yes | Yes | Yes | Yes | Yes | Waives public notice and Tribal Consultation to modify and waive preapproval requirements |
| Pennsylvania | 3/27 | Yes | Yes | Yes | Yes | Yes | Yes | |
| Rhode Island | 3/25 | No | Yes | Yes | Yes | Yes | No | |
| South Carolina | 3/30 | Yes | No | Yes | Yes | Yes | Yes | Waives public notice and Tribal Consultation to modify and waive preapproval requirements |
| South Dakota | 3/24 | Yes | No | No | Yes | Yes | Yes | |
| Tennessee | 3/30 | Yes | No | No | Yes | No | Yes | |
| Texas | 3/30 | Yes | No | Yes | Yes | Yes | No | Waiver of public notice requirements applicable to the state plan amendment submission process |
| <u>Vermont</u> | 3/30 | Yes | Yes | Yes | Yes | Yes | Yes | |
| <u>Virginia</u> | 3/23 | No | Yes | Yes | No | Yes | No | |
| Washington | 3/19 | Yes | Yes | Yes | Yes | Yes | Yes | Waives public notice and Tribal Consultation to modify and waive preapproval requirements |
| West Virginia | 3/30 | Yes | Yes | Yes | Yes | Yes | Yes | Waives public notice and Tribal Consultation to modify and waive preapproval requirements |



Current as of 9 April 2020

| State | Date | Suspend PASRR Levels I and II | Suspend Medicaid FFS Prior Auth | Extend pre- existing authorizations | Provider Enrollment | Fair Hearing Requests & Appeal Timelines | Care in Alternative Settings | Other Provisions |
|------------------------|------|-------------------------------------|---------------------------------------|---|------------------------|--|------------------------------------|--|
| Wyoming | 3/27 | Yes | Yes | No | Yes | Yes | Yes | |
| <u>Nevada</u> | 4/7 | Yes | No | Yes | Yes | Yes | Yes | Waives public notice and Tribal Consultation |
| U.S. Virgin Islands | 4/7 | No | Yes | Yes | Yes | Yes | No | |
| <u>Maine</u> | 4/7 | Yes | Yes | Yes | Yes | Yes | Yes | Waives SPA submission deadline, public notice, and tribal consultation |

Appendix K: Emergency Preparedness and Response

This appendix may be used by the state in emergency situations to request amendments to its approved waiver. This includes actions that states can take under the existing 1915(c) home and community-based waiver authority in order to respond to an emergency.

| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-----------|------|--|---|--|--|---|--|
| <u>CT</u> | 3/27 | Temporarily increase the cost limits for entry into the waiver Temporarily modify processes for level of care evaluations or re-evaluations Extend reassessment and reevaluation due dates | Temporarily modify services scope or coverage Temporarily exceed service limitations or requirements for amount, duration, and prior authorization to address health and welfare issues Temporarily add services to the waiver to address the emergency situation | Add electronic method of service delivery to continue services remotely in home Continuous residential services increase bed capacity temporarily if necessary Allow option to conduct evaluations, assessments, and person-centered service planning meetings virtually/remotely in lieu of face-to-face meetings | • Temporarily allow for payment for services for the purpose of supporting waiver participants in an acute care hospital or short-term institutional stay when necessary supports are not available in that setting, or when the individual requires those services for communication and behavioral | Temporarily permit payment for services rendered by family caregivers or legally responsible relatives (if not already permitted in waiver) Temporarily modify provider qualifications Temporarily modify licensure or other requirements for | Temporarily modify incident reporting requirements, medication management, or other participant safeguards to ensure individual health and welfare and account for emergency circumstances |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-------|------|-------------|---|---|---|--|-----------|
| | | | Temporarily provide services in out of state settings | Adjust prior authorization/approval elements approved in waiver Adjust assessment requirements Add an electronic method of signing off on required documents such as the person-centered service plan | stabilization, and such services are not covered in such settings | settings where waiver services are furnished Temporarily include retainer payments to address emergency related issues Permit substitution of lower level staff in a service plan, in order to maximize use of available staffing resources Allow spouses and parents of minor children to provide personal care services | |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-----------|------|--|--|--|--|---|--|
| AK | 3/25 | Temporarily increase cost limits for entry into waiver Temporarily modify processes for level of care evaluations or re-evaluations Extend reassessment and reevaluation due dates | Temporarily modify service scope or coverage Temporarily exceed service limits or requirements for amount, duration, and prior authorization to address the health and welfare issues presented by the emergency | Temporarily modify person-centered plan development process and responsible individuals Adjust prior approval/authorization elements in approved waiver Add electronic method of document signing | settings where services may be provided Temporarily expand payment for services to support waiver participants in acute care hospital or short-term institutional stay when necessary supports are not available in that setting or when individual requires those services for communication and behavioral stabilization and services are not covered in such settings | Temporarily permit payment for services rendered by family caregivers or legally responsible relatives (if not already permitted in waiver) Temporarily modify provider qualifications Temporarily increase payment rates Temporarily include retainer payments to address emergency related issues | Temporarily modify incident reporting requirements, medication management, or other participant safeguards to ensure individual health and welfare and account for emergency circumstances |
| <u>AZ</u> | 4/6 | | | Temporarily modify person-centered plan development process and responsible individuals Not comply with the HCBS settings requirement at 42 CFR 441.301 that individuals are able to have visitors of their choosing at any time, | Temporarily expand settings where services may be provided | Temporarily include retainer payments to address emergency related issues Allow spouses and parents of minor children to provide personal care services | Temporarily modify incident reporting requirements, medication management or other participant safeguards to ensure individual |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-------|------|-------------|------------------|---|----------|---|--|
| | | | | for settings added after 3/17/14 to minimize the spread of infection during the COVID-19 pandemic Add an electronic method of service delivery allowing services to continue to be provided remotely in the home setting Allow an extension for reassessments and reevaluations for up to one year past the due date Allow the option to conduct evaluations, assessments, and person-centered service planning meetings virtually/remotely in lieu of face-to-face meetings Adjust assessment requirements Add an electronic method of signing off on required documents such as the person-centered service plan | | Modify service providers for home-delivered meals to allow for additional providers, including non-traditional providers Temporarily increase payment rates | health and welfare, and to account for emergency sircumstances |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-------|------|--|--|---|--|--|--|
| CA | 4/2 | Temporarily modify processes for level of care evaluations or re-evaluations Imminent needs of individuals in the waiver programs Temporarily modify additional targeting criteria | Temporarily modify service scope or coverage Temporarily institute or expand opportunities Temporarily institute or expand opportunities | Temporarily modify person-centered plan development process and responsible individuals, including qualifications | Temporarily expand settings where services may be provided | Temporarily permit payment for services rendered by family caregivers or legally responsible relatives (if not already permitted in waiver) Temporarily modify provider qualifications Temporarily modify provider types Temporarily include retainer payments to address emergency related issues | Temporarily modify incident reporting requirements, medication management, or other participant safeguards to ensure individual health and welfare and account for emergency circumstances |
| CO | 3/26 | Temporarily increase cost limits for entry into waiver Temporarily modify additional targeting criteria Temporarily modify processes for level of care evaluations or re-evaluations | Temporarily modify service scope or coverage Temporarily exceed service limits or requirements for amount, duration, and prior authorization to address the health and welfare issues | Add electronic method of service delivery to continue services remotely in home Adjust prior approval/authorization elements in approved waiver Address assessment requirements | Temporarily expand settings where services may be provided payment for services to support waiver participants in acute care hospital or short-term institutional stay when necessary supports | Temporarily permit payment for services rendered by family caregivers or legally responsible relatives (if not already permitted in waiver) | Temporarily modify incident reporting requirements, medication management, or other participant safeguards to ensure individual |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-------|------|--|--|---|--|---|--|
| | | Permit virtual evaluations, assessments, and person-centered planning meetings in lieu of face-to-face | presented by the emergency Temporarily add services to address the emergency Temporarily institute or expand opportunities for self-direction Add medical supplied, equipment, and appliance | | are not available in that setting or when individual requires those services for communication and behavioral stabilization and services are not covered in such settings | Temporarily modify provider qualifications Temporarily increase payment rates Temporarily include retainer payments to address emergency related issues | health and welfare and account for emergency circumstances |
| Ш | 3/27 | Temporarily modify processes for level of care evaluations or re-evaluations Permit virtual evaluations, assessments, and person-centered planning meetings in lieu of face-to-face | Temporarily exceed service limits or requirements for amount, duration, and prior authorization to address the health and welfare issues presented by the emergency Add medical supplied, equipment, and appliance | Temporarily modify person-centered plan development process and responsible individuals Add electronic method of service delivery to continue services remotely in home Add electronic method of document signing | Temporarily expand settings where services may be provided payment for services to support waiver participants in acute care hospital or short-term institutional stay when necessary supports are not available in that setting or when individual requires those services for communication and behavioral stabilization and services are not covered in such settings | Temporarily modify provider qualifications Temporarily include retainer payments to address emergency related issues | Temporarily modify incident reporting requirements, medication management, or other participant safeguards to ensure individual health and welfare and account for emergency circumstances |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-----------|------|-------------|--|--|---|---|---|
| <u>IA</u> | 3/31 | | Temporarily modify service scope or coverage Temporarily exceed service limitations or requirements for amount, duration, and prior authorization to address health and welfare issues presented by the emergency Temporarily add services to the waiver to address the emergency situation Temporarily institute or expand opportunities for self-direction | Not comply with the HCBS settings requirement at 42 CFR 441.301 that individuals are able to have visitors of their choosing at any time, for settings added after 3/17/14 to minimize the spread of infection during the COVID-19 pandemic Add an electronic method of service delivery allowing services to continue to be provided remotely in the home setting Allow an extension for reassessments and reevaluations for up to one year past the due date Allow the option to conduct evaluations, assessments, and person-centered service planning meetings virtually/remotely in lieu of face-to-face meetings Adjust prior approval/authorization | Temporarily expand settings where services may be provided Temporarily allow for payment for services for the purpose of supporting waiver participants in an acute care hospital or short-term institutional stay when necessary supports are not available in that setting, or when the individual requires those services for communication and behavioral stabilization, and such services are not covered in such settings | Temporarily include retainer payments to address emergency related issues Allow spouses and parents of minor children to provide personal care services Allow a family member to be paid to render services to an individual Modify service providers for home-delivered means to allow for additional providers, including nontraditional providers | Conflict of interest: the state is responding to the COVID-19 pandemic personnel crisis by authorizing case management entities to provide direct services. |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-----------|------|--|---|--|---|---|--|
| | | | | elements approved in waiver • Adjust assessment requirements • Add an electronic method of signing off on required documents such as the person-centered service plan | | | |
| <u>KS</u> | 4/1 | Temporarily modify additional targeting criteria | Temporarily exceed service limits or requirements for amount, duration, and prior authorization to address the health and welfare issues presented by the emergency Temporarily add services to the waiver to address the emergency Temporarily add services to the waiver to address the emergency emergency situation | Not comply with the HCBS settings requirement at 42 CFR 441.301 that individuals are able to have visitors of their choosing at any time, for settings added after 3/17/14 to minimize the spread of infection during the COVID-19 pandemic Add an electronic method of service delivery allowing services to continue to be provided remotely in the home setting Allow an extension for reassessments and reevaluations for up to one year past the due date | Temporarily expand settings where services may be provided Temporarily allow for payment for services for the purpose of supporting waiver participants in an acute care hospital or short-term institutional stay when necessary supports are not available in that setting, or when the individual requires those services for communication and behavioral stabilization, and such services are | Temporarily modify provider qualifications Temporarily modify provider types Temporarily modify licensure or other requirements for settings where waiver services are furnished Allow spouses and parents of minor children to provide personal care services Allow a family member to be paid to render services to an individual | Temporarily modify incident reporting requirements, medication management, or other participant safeguards to ensure individual health and welfare and account for emergency circumstances |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-----------|------|--|---|--|---|--|--|
| | | | | Allow the option to conduct evaluations, assessments, and person-centered service planning meetings virtually/remotely in lieu of face-to-face meetings Adjust prior approval/authorization elements approved in waiver Adjust assessment requirements Add an electronic method of signing off on required documents such as the person-centered service plan | not covered in such settings | Allow other practitioners in lieu of approved providers within the waiver Modify service providers for home-delivered meals to allow for additional providers including non-traditional providers | |
| <u>KY</u> | 3/25 | Temporarily modify processes for level of care evaluations or re-evaluations Permit virtual evaluations, assessments, and person-centered planning meetings in lieu of face-to-face | Temporarily modify service scope or coverage Temporarily exceed service limits or requirements for amount, duration, and prior authorization to address the health and welfare issues presented by the emergency | Temporarily modify person-centered plan development process and responsible individuals Add electronic method of service delivery to continue services remotely in home Adjust prior approval/authorization elements in approved waiver | Temporarily expand settings where services may be provided | Temporarily modify provider qualifications Temporarily increase payment rates Temporarily include retainer payments to address emergency related issues | • Temporarily modify incident reporting requirements, medication management, or other participant safeguards to ensure individual health and |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-------|------|--|---|---|--|--|--|
| | | | Temporarily institute or expand opportunities for self- direction | Add electronic method of document signing | | Allow case management entities to provide direct services | welfare and account for emergency circumstances |
| MD | 4/7 | Temporarily modify processes for level of care evaluations or re-evaluations | Temporarily modify service scope or coverage Temporarily add services to the waiver to address the emergency situation Temporarily add services to the waiver to address the emergency situation | Not comply with the HCBS settings requirement at 42 CFR 441.301 that individuals are able to have visitors of their choosing at any time, for settings added after 3/17/14 to minimize the spread of infection during the COVID-19 pandemic Add an electronic method of service delivery allowing services to continue to be provided remotely in the home setting Allow an extension for reassessments and reevaluations for up to one year past the due date Allow the option to conduct evaluations, assessments, and person-centered service planning meetings virtually/remotely in | Temporarily expand settings where services may be provided Temporarily modify licensure or other requirements for settings where waiver services are furnished Temporarily expand settings where services may be provided payment for services to support waiver participants in acute care hospital or short-term institutional stay when necessary supports are not available in that setting or when individual requires those services for communication and behavioral stabilization and services are not | Temporarily permit payment for services rendered by family caregivers or legally responsible individuals if not already permitted under the waiver Temporarily modify provider qualifications Temporarily modify provider types Temporarily include retainer payments to address emergency related issues Allow spouses and parents of minor children to provide personal care services Allow a family member to be paid to render | |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-------|------|--|---|--|-----------------------------|--|---|
| | | | | lieu of face-to-face meetings Adjust assessment requirements Add an electronic method of signing off on required documents such as the person-centered service plan | covered in such settings | services to an individual Allow other practitioners in lieu of approved providers within the waiver | |
| MN | 3/27 | Temporarily modify processes for level of care evaluations or re-evaluations | Temporarily modify service scope or coverage | Add electronic method of service delivery to continue services remotely in home | | | |
| MS | 4/7 | | Temporarily add services to the waiver to address the emergency situation Temporarily exceed service limitations or requirements for amount, duration and prior authorization to address health and welfare issues presented by the emergency | Temporarily modify person-centered plan development process and responsible individuals Not comply with the HCBS settings requirement at 42 CFR 441.301 that individuals are able to have visitors of their choosing at any time, for settings added after 3/17/14 to minimize the spread of infection during the COVID-19 pandemic | | Temporarily permit payment for services rendered by family caregivers or legally responsible individuals if not already permitted under the waiver Temporarily modify provider qualifications Temporarily modify provider types Temporarily increase payment rates | Temporarily modify incident reporting requirements, medication management or other participant safeguards to ensure individual health and welfare, and to account for emergency circumstances |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-------|------|--|--|--|--|---|-----------|
| | | | | Add an electronic method of service delivery Allow an extension for reassessments and reevaluations for up to one year past the due date Allow the option to conduct evaluations, assessments, and person-centered service planning meetings virtually/remotely in lieu of face-to-face meetings Adjust assessment requirements Add an electronic method of signing off on required documents such as the person-centered service plan | | Allow a family member to be paid to render services to an individual Allow other practitioners in lieu of approved providers within the waiver | |
| NC | 4/6 | Temporarily increase the cost limits for entry into the waiver Temporarily modify additional targeting criteria Temporarily modify processes for level | Temporarily modify services scope or coverage Temporarily exceed service limitations or requirements for amount, duration and prior authorization to address health and | Temporarily modify person-centered plan development process and responsible individuals | Temporarily expand settings where services may be provided Temporarily provide services in out of state settings Temporarily allow for payment for | Temporarily modify provider qualifications Temporarily include retainer payments to address emergency related issues | |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-------|------|---|--|--|---|--|---|
| | | of care evaluations or re-evaluations • Temporarily increase the cost limits for entry into the waiver | welfare issues presented by the emergency | | services for the purpose of supporting waiver participants in an acute care hospital or short-term institutional stay when necessary supports are not available in that setting, or when the individual requires those services for communication and behavioral stabilization and such services are not covered in such settings | | |
| ND | 4/7 | Temporarily modify processes for level of care evaluations or re-evaluations | Temporarily modify service scope or coverage Temporarily exceed service limitations or requirements for amount, duration, and prior authorization to address health and welfare issues presented by the emergency | Temporarily modify person-centered service plan development process and individuals responsible for person-centered service plan development, including qualifications Not comply with the HCBS settings requirement at 42 CFR 441.301 that individuals are able to | Temporarily expand settings where services may be provided Temporarily provide services in out of state settings | Temporarily modify provider qualifications Temporarily increase payment rates Temporarily include retainer payments to address emergency related issues Temporarily permit payment for services | Temporarily modify incident reporting requirements, medication management or other participant safeguards to ensure individual health and welfare, and to account for |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-------|------|-------------|------------------|--|----------|--|----------------------------|
| | | | | have visitors of their choosing at any time, for settings added after 3/17/14 to minimize the spread of infection during the COVID-19 pandemic Add an electronic method of service delivery Allow an extension for reassessments and reevaluations for up to one year past the due date Allow the option to conduct evaluations, assessments, and person-centered service planning meetings virtually/remotely in lieu of face-to-face meetings Adjust assessment requirements Add an electronic method of signing off on required documents such as the person-centered service plan | | rendered by family caregivers or legally responsible individuals if not already permitted under the waiver | emergency circumstances |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-----------|------|--|--|--|---|--|--|
| <u>NM</u> | 3/27 | Temporarily modify processes for level of care evaluations or re-evaluations | Temporarily modify service scope or coverage Temporarily exceed service limits or requirements for amount, duration, and prior authorization to address the health and welfare issues presented by the emergency | Temporarily modify person-centered plan development process and responsible individuals | Temporarily expand settings where services may be provided Temporarily expand settings where services may be provided payment for services to support waiver participants in acute care hospital or short-term institutional stay when necessary supports are not available in that setting or when individual requires those services for communication and behavioral stabilization and services are not covered in such settings | Temporarily permit payment for services rendered by family caregivers or legally responsible relatives (if not already permitted in waiver) Temporarily modify provider qualifications Temporarily include retainer payments to address emergency related issues | Temporarily modify incident reporting requirements, medication management, or other participant safeguards to ensure individual health and welfare and account for emergency circumstances |
| NY | 4/7 | Temporarily modify processes for level of care evaluations or re-evaluations | Temporarily modify service scope or coverage | Temporarily modify person-centered service plan development process and individuals responsible for person-centered service plan | Temporarily expand settings where services may be provided Temporarily provide services in out of state settings | Temporarily modify provider qualifications Temporarily increase payment rates Temporarily include retainer | Temporarily modify incident reporting requirements, medication management or other |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-------|------|--|--|---|--|--|--|
| | | | | development, including qualifications | Temporarily modify licensures where waiver services are furnished | payments to address emergency related issues | participant safeguards to ensure individual health and welfare, and to account for emergency circumstances |
| ОК | 4/3 | Temporarily modify processes for level of care evaluations or re-evaluations | Temporarily modify service scope or coverage Temporarily institute or expand opportunities for self-direction Other changes necessary to use HIPAA compliant video conference/telehealth during times of emergency declaration | Temporarily modify person-centered plan development process and responsible individuals, including qualifications | Temporarily expand settings where services may be provided Temporarily provide services in out of state settings Temporarily allow for payment for services for the purpose of supporting waiver participants in an acute care hospital or short-term institutional stay when necessary supports are not available in that setting, or when the individual requires those services for communication and behavioral stabilization, and | Temporarily modify provider qualifications Temporarily modify licensure or other requirements for settings where waiver services are furnished Temporarily include retainer payments to address emergency related issues | Temporarily modify incident reporting requirements, medication management, or other participant safeguards to ensure individual health and welfare and account for emergency circumstances |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-----------|-----------|--|---|---|--|--|--|
| State PA | Date 3/18 | Temporarily modify processes for level of care evaluations or re-evaluations Extend reassessment and reevaluation due dates Permit virtual evaluations, assessments, and person-centered planning meetings in lieu of face-to- | Temporarily exceed service limits or requirements for amount, duration, and prior authorization to address the health and welfare issues presented by the emergency Temporarily exceed service limits or requirements for amount, duration, and prior | | such services are not covered in such settings • Temporarily expand settings where services may be provided payment for services to support waiver participants in acute care hospital or short-term institutional stay when necessary supports are not available in that setting or when individual requires | Temporarily permit payment for services rendered by family caregivers or legally responsible relatives (if not already permitted in waiver) Temporarily modify provider qualifications Temporarily | Temporarily modify incident reporting requirements, medication management, or other participant safeguards to ensure individual health and welfare and account for |
| <u>RI</u> | 3/24 | Temporarily modify processes for level of care evaluations or re-evaluations | and prior authorization to address the health and welfare issues presented by the emergency | Temporarily modify person-centered plan development process | those services for communication and behavioral stabilization and services are not covered in such settings Not allow visitors at any time to minimize spread of infection | increase payment rates Temporarily include retainer payments to address emergency related issues Allow case management entities to provide direct services | account for emergency circumstances |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-----------|------|---|--|---|--|---|---|
| | | Extend reassessment and reevaluation due dates Permit virtual evaluations, assessments, and person-centered planning meetings in lieu of face-to- face | | and responsible individual | | | |
| <u>SD</u> | 4/6 | | Temporarily exceed service limitations or requirements for amount, duration, and prior authorization to address health and welfare issues presented by the emergency | person-centered plan development process and responsible individuals Not comply with the HCBS settings requirement at 42 CFR 441.301 that individuals are able to have visitors of their choosing at any time, for settings added after 3/17/14 to minimize the spread of infection during the COVID-19 pandemic Add an electronic method of service delivery allowing services to continue to be provided remotely in the home setting | Temporarily expand settings where services may be provided | Temporarily include retainer payments to address emergency related issues Temporarily modify provider qualifications Allow spouses and parents of minor children to provide personal care services Allow a family member to be paid to render services to an individual Allow other practitioners in lieu of approved | Temporarily modify incident reporting requirements, medication management or other participant safeguards to ensure individual health and welfare, and to account for emergency circumstances |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-------|------|--|--|---|--|---|--|
| | | | | Allow the option to conduct evaluations, assessments, and person-centered service planning meetings virtually/remotely in lieu of face-to-face meetings Adjust assessment requirements Add an electronic method of signing off on required documents such as the person-centered service plan | | providers within the waiver • Modify service providers for home-delivered meals to allow for additional providers, including non-traditional providers | |
| WA | 3/23 | Temporarily modify processes for level of care evaluations or re-evaluations Extend reassessment and reevaluation due dates Permit virtual evaluations, assessments, and person-centered planning meetings in lieu of face-to-face | Temporarily modify service scope or coverage Temporarily exceed service limits or requirements for amount, duration, and prior authorization to address the health and welfare issues presented by the emergency Temporarily exceed service limits or requirements for amount, duration, | Temporarily modify person-centered plan development process and responsible individuals Add electronic method of service delivery to continue services remotely in home Adjust prior approval/authorization elements in approved waiver | Temporarily expand settings where services may be provided Temporarily provide services in out of state settings (if not already permitted in waiver) Temporarily allow payment for services to support waiver participants in acute care hospital or short-term institutional stay when | Temporarily modify provider qualifications Temporarily increase payment rates Temporarily include retainer payments to address emergency related issues | Temporarily modify incident reporting requirements, medication management, or other participant safeguards to ensure individual health and welfare and account for emergency circumstances |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-------|------|--|---|--|--|--|-----------|
| | | | and prior authorization to address the health and welfare issues presented by the emergency | | necessary supports are not available in that setting or when individual requires those services for communication and behavioral stabilization and services are not covered in such settings | | |
| wv | 3/19 | Temporarily modify processes for level of care evaluations or re-evaluations Extend reassessment and reevaluation due dates Permit virtual evaluations, assessments, and person-centered planning meetings in lieu of face-to-face | Temporarily exceed service limits or requirements for amount, duration, and prior authorization to address the health and welfare issues presented by the emergency | Temporarily modify person-centered plan development process and responsible individuals Add electronic method of service delivery to continue services remotely in home Adjust prior approval/authorization elements in approved waiver Address assessment requirements | • Temporarily expand settings where services may be provided payment for services to support waiver participants in acute care hospital or short-term institutional stay when necessary supports are not available in that setting or when individual requires those services for communication and behavioral stabilization and services are not covered in such settings | Temporarily permit payment for services rendered by family caregivers or legally responsible relatives (if not already permitted in waiver) Temporarily modify provider qualifications Temporarily include retainer payments to address emergency related issues | |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-------|------|-------------|--|---|---|---|-----------|
| WY | 3/31 | | Temporarily modify service scope or coverage Temporarily exceed service limits or requirements for amount, duration, and prior authorization to address the health and welfare issues presented by the emergency | Not comply with the HCBS settings requirement at 42 CFR 441.301 that individuals are able to have visitors of their choosing at any time, for settings added after 3/17/14 to minimize the spread of infection during the COVID-19 pandemic Add an electronic method of service delivery allowing services to continue to be provided remotely in the home setting Allow an extension for reassessments and reevaluations for up to one year past the due date Allow the option to conduct evaluations, assessments, and person-centered service planning meetings virtually/remotely in lieu of face-to-face meetings Adjust assessment requirements | Temporarily expand settings where services may be provided Temporarily allow for payment for services for the purpose of supporting waiver participants in an acute care hospital or short-term institutional stay when necessary supports are not available in that setting, or when the individual requires those services for communication and behavioral stabilization, and such services are not covered in such settings | Temporarily modify provider qualifications Temporarily modify provider qualifications Temporarily modify licensure or other requirements for settings where waiver services are furnished | |



| State | Date | Eligibility | Covered Services | Service Planning & Delivery | Settings | Providers | Oversight |
|-------|------|-------------|------------------|--------------------------------|----------|-----------|-----------|
| | | | | Add an electronic | | | |
| | | | | method of signing off | | | |
| | | | | on required | | | |
| | | | | documents such as the | | | |
| | | | | person-centered | | | |
| | | | | service plan | | | |